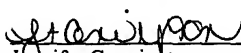


## PATENTS

### CERTIFICATE OF EXPRESS MAIL

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 09/09/2003  
Jennifer Connington Date  
Express Mail Label EV 328767095 US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Parent Appl. No.	:	09/959,240	Confirmation No.:	to be assigned
Applicant	:	August van der Beek et al.		
Filed	:	currently herewith		
TC/A.U.	:	to be assigned		
Examiner	:	to be assigned		
Docket No.	:	102126-31 (15)		
Customer No.	:	27388		

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted in accordance with 37 C.F.R. 1.97, 1.98, and it is requested that the information set forth in this statement and in the listed documents be considered during the pendency of the above-identified application, and any other application relying on the filing date of the above-identified application or cross-referencing it as a related application.

Check boxes A and/or B and fill in blanks, if appropriate:

- ☐ A. Document(s) is (are) deemed substantially cumulative to document(s) \_\_\_\_\_ and, in accordance with 1.98(c), only a copy of each of the latter documents is enclosed.
- ☒ B. Certain documents were previously cited by or submitted to the Office in the following prior application(s), which are relied upon under 35 U.S.C. 120:

Application Serial No.: 09/959,240

Filed : February 20, 2002

Applicant identifies these documents by attaching hereto copies of the forms PTO-892 and PTO-1449 from the files of the prior application(s) or a new PTO-1449 listing these documents, and requests that they be considered and made of record in accordance with 1.98(d). Per 37 CFR 1.98(d), copies of these documents need not be filed in this application.

- ☐ 3. Document(s) are not in the English language. In accordance with 1.98(c), Applicant states:
- ☐ An English translation of each document (or of the pertinent portions thereof), or a copy of each corresponding English-language patent or application, or English-language abstract (or claim) is enclosed.
- ☐ A concise explanation of the relevance of document(s) \_\_\_\_\_ is found in the attached search report (see reply to Comment 68 in the preamble to the final rules, 1135 OG 13 at 20).
- ☐ A concise explanation of the relevance of document(s) \_\_\_\_\_ is set forth as follows: *[Insert concise explanation of relevance]*
- ☐ A concise explanation of the relevance of document(s) \_\_\_\_\_ can be found on page(s) \_\_\_\_\_ of the specification.
- ☐ A concise explanation of document(s) \_\_\_\_\_ can be found on the attached sheet.
- ☐ 4. No explanation of relevance is necessary (documents are in English).
- ☒ 5. Other information being provided for the examiner's consideration follows:

An International Search Report, dated **December 9, 2000**, which issued during the prosecution of Applicant's corresponding Patent Application No. **PCT/DE00/01115**.

1. This IDS should be considered, in accordance with 37 C.F.R. 1.97, as it is filed:

*Check one of the boxes A-D*

- ☒ A. Within three months of the filing date of the above-identified national application or within three months of the entry into the national stage of the above-identified international application.
- ☐ B. Before the mailing date of a first office action on the merits.
- ☐ C. After (A) and (B) above, but before final rejection or allowance, and Applicants have made the necessary certification (box "i" below) or paid the necessary fee (box "ii" below).

*Check one of the boxes "i" and "ii" below:*

- ☐ i. Counsel certifies that, upon information and belief, each item of information listed herein was either (a) cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or (b) was not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of undersigned after making reasonable inquiry, was not known to any individual designated in 1.56 (c) more than three months prior to the filing of this IDS.
- ☐ ii. A check for the fee set forth in 1.17(p), presently believed to be \$180, is enclosed.
- ☐ D. After (A), (B) and (C) above, but before payment of the issue fee. Applicant petitions under 37 C.F.R. 1.97(d) for the consideration of this IDS. A check for the fee set forth in §1.17(1), presently believed to be \$130 is enclosed. Counsel certifies that, upon information and belief, each item of information listed herein was either (i) cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the IDS; or (ii) was not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, was not known to any individual designated in 1.56(c) more than three months prior to the filing of this IDS.
2. In accordance with 37 C.F.R. 1.98, this IDS includes Form PTO-1449 which lists all patents, publications, and/or other information submitted for consideration by the office, either incorporated into this IDS or as an attachment hereto. A copy of all documents listed is attached except US patents as explained below.

6. In accordance with 37 C.F.R. 1.97(g) and (h), the filing of this IDS should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56 (b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant reserves the right to prove that the date of publication is in fact different.

CROSS REFERENCE UNDER 37 C.F.R. §1.78 TO RELATED APPLICATIONS

Pursuant to 37 C.F.R. §1.79, Applicant notes that the above-identified patent application is related to the following U.S. Patent Applications:

(1) U.S. Patent Application Serial No. 09/959,240 entitled Method for briquetting metal chips and briquetting press filed February 20, 2002, which is a 35 U.S.C. 371 National Phase application of PCT/DE00/01115 filed on April 12, 2000, which claims priority to DE 199 17 421.0 filed April 19, 1999.

Early and favorable consideration is earnestly solicited.

Respectfully submitted,



Christa Hildebrand  
Reg. No. 34,953

Norris, McLaughlin & Marcus P.A.  
220 East 42<sup>nd</sup> Street - 30<sup>th</sup> Floor  
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facsimile: (212)808-0844

<b>LIST OF PATENTS AND PUBLICATIONS</b> <b>APPLICANT'S INFORMATION</b> <b>DISCLOSURE STATEMENT</b> (Form PTO-1449 Modified)										Attorney Docket: 3481/OJ946 (102126-15)		Serial No. 09/959,240	
										Applicant: August van der Beek			
										Filing Date: I.A. filing date 4/12/00		Group: TBA	

U.S. PATENT DOCUMENTS													
Examiner's Initial		Document Number							Date	Name	Class	Sub Class	Filing Date If appropriate
	AA	5	6	6	4	4	9	2	9/1997	E. Bendzick			
	AB	5	3	2	6	5	1	1	7/1994	D. Cooper et al.			
	AC												
	AD												
	AE												
	AF												
	AG												
	AH												
	AI												
	AJ												
	AK												

FOREIGN PATENT DOCUMENTS										
		Document Number			Date	Country	Class	Sub Class	Translation Yes    No	
	AL	0 490 148			6/1992	EPO				
	AM	0 367 859			5/1990	EPO				
	AN	19533903			03-04-97	DE				
	AO									
	AP									

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
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	AS
	AT

<b>EXAMINER:</b>	<b>DATE CONSIDERED:</b>
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- **EXAMINER:** Initial if Reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformation and not considered, include copy of this form with next communication to applicant.
-

**Notice of References Cited**

Application/Control No.

09/959,240

Applicant(s)/Patent Under  
Reexamination  
VAN DER BEEK ET AL.

Examiner

Essama Omgba

Art Unit

3726

Page 1 of 5

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
✓	A	US-737,425	08-1903	Laermoes et al.	100/218
✓	B	US-737,427	08-1903	Lemberg, M.	100/208
✓	C	US-752,565	02-1904	Koneman, W. A.	425/107
✓	D	US-843,565	02-1907	Misner, E. D.	425/205
✓	E	US-896,427	08-1908	Abbott, F. E.	425/382R
✓	F	US-952,960	03-1910	Fernholtz, E.	425/376.1
✓	G	US-1,054,464	02-1913	Soucek, V.	425/378.1
✓	H	US-1,057,029	03-1913	Bartlett, F. W.	425/422
✓	I	US-1,183,760	05-1916	Parker, T.	264/603
✓	J	US-1,463,094	07-1923	Rigby, T.	425/376.1
✓	K	US-1,473,389	11-1923	Smith et al.	100/215
✓	L	US-1,819,740	08-1931	Davis, R. P. M.	425/449
✓	M	US-1,860,075	05-1932	Byerlein et al.	100/193

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
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**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

# **Notice of References Cited**

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Examiner

Essama Omgba

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## **U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
✓	A	US-2,110,972	03-1938	Dinzi, R. W.	425/78
✓	B	US-2,128,241	08-1938	Goss, W. C.	425/345
✓	C	US-2,206,000	06-1940	Byerlein, E. J.	100/353
✓	D	US-2,311,940	02-1943	Grob, B.	100/353
✓	E	US-2,332,170	10-1943	Sapp, G. C.	425/311
✓	F	US-2,359,674	10-1944	Pollock, R. T.	425/420
✓	G	US-2,360,487	10-1944	Fullerton, H. S.	100/143
✓	H	US-2,384,163	09-1945	Flowers, P. E.	425/149
✓	I	US-2,507,491	05-1950	Crea, G. J.	425/182
✓	J	US-2,537,920	01-1951	Smith, G.	425/556
✓	K	US-2,780,987	02-1957	Wall, H. M.	100/35
✓	L	US-2,817,891	12-1957	Zweigle, E.	425/204
✓	M	US-2,966,842	01-1961	Roche, J. B.	100/209

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*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
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<b>Notic of References Cited</b>	Application/Control No. 09/959,240		Applicant(s)/Patent Under Reexamination VAN DER BEEK ET AL.	
	Examiner Essama Omgba		Art Unit 3726	Page 3 of 5

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
✓	A	US-2,984,172	05-1961	Roberts et al.	100/90
✓	B	US-3,386,374	06-1968	Tezuka, Kunitoshi	100/215
✓	C	US-3,564,993	02-1971	Kunitoshi Tezuka et al.	100/215
✓	D	US-3,810,421	05-1974	Mosley, Fred E.	100/95
✓	E	US-3,824,054	07-1974	Harris, Leroy S.	425/149
✓	F	US-3,838,634	10-1974	Alexandrov et al.	100/50
✓	G	US-3,901,635	08-1975	Greenberger, Joseph Irwin	425/145
✓	H	US-3,980,014	09-1976	McEwen et al.	100/127
✓	I	US-4,123,209	10-1978	Moore, James E.	425/74
✓	J	US-4,275,650	06-1981	Telling, Edward F.	100/45
✓	K	US-4,333,394	06-1982	Brown, Stanford M.	100/42
✓	L	US-4,483,246	11-1984	Sullivan et al.	100/35
✓	M	US-4,559,004	12-1985	Augier, Robert	425/261

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	Examiner Essama Omgba		Art Unit 3726	Page 4 of 5

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*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
✓	A	US-4,601,238	07-1986	Davis et al.	100/45
✓	B	US-4,669,375	06-1987	Newsom et al.	100/45
✓	C	US-4,700,622	10-1987	Satake, Toshihiko	100/45
✓	D	US-4,750,417	06-1988	Newsom et al.	100/35
✓	E	US-4,787,308	11-1988	Newsom et al.	100/50
✓	F	US-5,059,372	10-1991	Klais, Gunter	264/120
✓	G	US-5,083,509	01-1992	Hansen et al.	100/73
✓	H	US-5,088,399	02-1992	Cacace et al.	100/218
✓	I	US-5,145,692	09-1992	Hereford, Judson A.	425/139
✓	J	US-5,318,426	06-1994	Hanson et al.	425/64
✓	K	US-5,326,511	07-1994	Cooper et al.	264/40.1
✓	L	US-5,494,626	02-1996	Middleton, Ashley P.	264/115
	M	US-5,503,788	04-1996	Lazareck et al.	264/115

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<b>Notic of References Cited</b>	Application/Control No. 09/959,240	Applicant(s)/Patent Under Reexamination VAN DER BEEK ET AL.	
	Examiner Essama Omgba	Art Unit 3726	Page 5 of 5

**U.S. PATENT DOCUMENTS**

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✓	A	US-5,507,988	04-1996	Eagan et al.	264/122
✓	B	US-5,542,348	08-1996	Bendzick, Ervin J.	100/37
✓	C	US-5,582,846	12-1996	Cooper et al.	425/140
✓	D	US-5,629,033	05-1997	Lienau, David	425/353
✓	E	US-5,664,492	09-1997	Bendzick, Ervin J.	100/45
✓	F	US-6,272,981 B1	08-2001	Murata et al.	100/50
✓	G	US-6,349,638 B1	02-2002	Thompson, Edward A.	100/218
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

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